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2 **Assessment of Eutrophication in Estuaries: Pressure-State-Response**  
3 **and Nitrogen Source Apportionment**

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5 **Short Title: Assessment of Eutrophication in Estuaries**

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31 **ABSTRACT**

32 An eutrophication assessment method was developed as part of the National Estuarine

33 Eutrophication Assessment (NEEA) Program. The program is designed to improve

34 monitoring and assessment of eutrophication in the estuaries and coastal bays of the

35 United States with the intent to guide management plans and develop analytical and

36 research models and tools for managers. These tools will help guide and improve

37 management success for estuaries and coastal resources. The assessment method, a

38 Pressure-State-Response (PSR) approach, uses a simple model to determine Pressure and  
39 statistical criteria for indicator variables (where applicable) to determine State. The  
40 Response determination is mostly heuristic although research models are being developed  
41 to improve that component. The three components are determined individually and then  
42 combined into a single rating. Application to several systems in the European Union  
43 (E.U.), specifically in Portugal, shows that the method is transferable, and thus is useful  
44 for development of management measures in both the U.S. and E.U.

45 This approach identifies and quantifies the key anthropogenic nutrient input  
46 sources to estuaries so that management measures can target inputs for maximum effect.  
47 Since nitrogen is often the limiting nutrient in estuarine systems, examples of source  
48 identification and quantification for nitrogen have been developed for eleven coastal  
49 watersheds on the U.S. east coast using the WATERSN model. In general, estuaries in  
50 the Northeastern U.S. receive most of their nitrogen from human sewage, followed by  
51 atmospheric deposition. This is in contrast to some watersheds in the Mid-Atlantic  
52 (Chesapeake Bay) and South Atlantic (Pamlico Sound), which receive most of their  
53 nitrogen from agricultural runoff. Source identification is important for implementing  
54 effective management measures that should be monitored for success using assessment  
55 methods, as described herein. For instance, these results suggest that Northeastern  
56 estuaries would likely benefit most from improved sewage treatment while the Mid and  
57 South Atlantic systems would benefit most from agricultural runoff reductions.

58

59 **KEYWORDS:** eutrophication, estuaries, nitrogen, modeling, United States, European  
60 Union, assessment

61

## 62 **Introduction and Background**

63 Nutrient pollution has recently been identified as the greatest threat to U.S. coastal water  
64 quality (Boesch and others 2001; NRC 2000; CSO 1999). Sources of nutrients include  
65 atmospheric deposition, groundwater, surface waters and land-based point and non-point  
66 sources. Additionally, oceanic sources may be relevant for some systems. Potential  
67 consequences of nutrient enrichment range from ecological changes to socio-economic  
68 impairments (for example, fisheries, aquaculture), to serious human health threats (Figure 1).

69 Symptoms of eutrophication include low dissolved oxygen, nuisance and toxic algal  
70 blooms, shifts in algal community composition and losses of submerged aquatic plants that  
71 constitute a habitat for species important to coastal fisheries. These impacts cause economic  
72 losses to tourism, and to commercial and recreational fisheries (Lipton and Hicks 1999, 2003).  
73 Additionally, weakening or destroying native flora and fauna provides the opportunity for  
74 colonization by invasive species.

75 The National Estuarine Eutrophication Assessment (NEEA) Program is a  
76 management-oriented program designed to improve monitoring and assessment efforts to  
77 evaluate and provide the basis for successful management. Program components focus on  
78 the development of type-specific classification of estuaries, improved assessment criteria,  
79 and on the use of assessment results to guide development of analytical and research  
80 models and tools for managers. The intent is to make these tools accessible to help  
81 improve management success for estuaries and coastal resources. This paper describes  
82 results of the application of the Assessment of Estuarine Trophic Status (ASSETS)  
83 eutrophication method, developed as part of the NEEA Program, from the original study

84 of 138 U.S. coastal waterbodies and a more recent application to several E.U. systems,  
85 illustrating the transferability of the method. Further, the paper shows ongoing and  
86 needed method improvements, in particular the value and need for more detailed  
87 characterization of nutrient inputs. Models are used here to apportion nitrogen sources in  
88 case studies using a subset of the 138 U.S. systems for which required data were  
89 available.

## 91 Methods

### 92 Eutrophication assessment

93 In the early 1990s, signs of nutrient related degradation in estuaries, as evidenced  
94 by hypoxia in Long Island Sound, Chesapeake Bay and Mobile Bay (Welsh 1991), and  
95 the concern that this might be a widespread problem, led NOAA to conduct a nationwide  
96 assessment of the magnitude, severity and location of eutrophic conditions. The intent  
97 was to learn whether these problems were national, regional or local in scale, to  
98 determine probable causes, and to provide information to managers on observed  
99 problems that could be addressed at the appropriate level (national, state or local). The  
100 National Estuarine Eutrophication Assessment (NEEA) involved about four hundred  
101 participants from academia, state, federal and local agencies, who provided information  
102 and data for 138 U.S. estuaries and coastal water bodies (NOAA 1996, 1997a, b, c,  
103 1998). Assessment results show that nutrient-related water quality problems were  
104 occurring on a national basis (Bricker and others 1999; Figure 2).

105 Since the release of the NEEA in 1999, there has been interest in updating the  
106 assessment given the expected increase in problems in the future as coastal populations,

107 fertilizers use and fossil fuel consumption grew (Bricker and others 1999; NRC 2000).

108 There is also interest in improving the accuracy and applicability of the methodology

109 including:

- 110 1) the use of data to complement and inform “expert knowledge”;
- 111 2) development of a type classification to improve accuracy;
- 112 3) improvement of assessment methods to include, for example, type-specific  
113 selection of indicator variables and variable thresholds;
- 114 4) development of a socioeconomic indicator for assessing impairments to human  
115 uses and specifying appropriate responses;
- 116 5) development of tools and predictive models useful to resource managers for  
117 making informed decisions and assessing alternative management strategies;
- 118 6) and apportionment of nutrient sources to support selection and implementation of  
119 appropriate management measures, i.e. the incorporation of driving forces into the  
120 assessment method (Bricker and others, 2004).

121 Furthermore, an update of the assessment will validate the previous findings, to learn  
122 whether the systems that were expected to become worse have done so.

123 Presently the U.S. National Estuarine Eutrophication Assessment results are being  
124 updated via an online data collection survey and a national review workshop. The results,  
125 representing decadal changes in nutrient related water quality in U.S. systems from the  
126 early 1990s to the early 2000s, are expected for release in early 2007

127 (<http://www.eutro.us>). Additionally, other program components such as the type  
128 classification and development of a socioeconomic indicator (Bricker and others, 2006)  
129 are underway.

130

## 131 The ASSETS Assessment Methodology

132 The NEEA model (Bricker and others 1999), was developed into a Pressure-State-  
133 Response framework, termed Assessment of Estuarine Trophic Status (ASSETS;  
134 Bricker and others 2003), which assesses eutrophication in three components:

- 135 1) Influencing Factors on development of conditions (Pressure);
- 136 2) Overall Eutrophic Condition within a water body (State) and ;
- 137 3) Future Outlook for conditions within the system (Response).

138 The method is described here in brief, although a full description of the original method  
139 can be found in Bricker and others (1999) and details for modifications can be found in  
140 Bricker and others (2003), Nobre and others (2005) and Ferreira and others (in press).

141

### 142 Determination of Pressure – Influencing Factors

143 A matrix is used to determine Pressure, an estimate of system susceptibility based  
144 on its ability to dilute and flush nutrients and the level of nutrient input from the  
145 watershed. In the original study, watershed nutrient model estimates (SPARROW; Smith  
146 and others 1997), watershed population density and other demographic data in the  
147 Coastal Assessment and Data Synthesis (CADS 1999) were used to estimate inputs, and  
148 CADS hydrologic and physical data to determine susceptibility. Model estimates can still  
149 be used as estimators of input; however, the ASSETS method uses a simple model that  
150 compares anthropogenic nutrient loading with natural background concentrations. This is  
151 an improvement to the model generated estimates because water quality data are from the  
152 system and the timeframes are consistent with data used for the condition assessment.

153 Watershed models most often use a “base year” that may not be consistent with the  
154 timeframe of the assessment data. Additionally, since the ASSETS model factors in  
155 potential nutrient inputs from oceanic sources, it determines the potential success of  
156 management measures. For a full description of model development see Bricker and  
157 others (2003) and Ferreira and others (in press).

#### 158 Determination of State – Overall Eutrophic Condition

159 Five variables from an original list of 16 (Bricker and others 1999) are used to  
160 determine overall eutrophic condition. These were divided into two groups:

- 161 I. primary or early stage symptoms
- 162 1) chlorophyll *a*; and
- 163 2) macroalgae; note that while epiphytes were used in the original study,  
164 there were inadequate data on a national basis to support the use of this  
165 indicator in further assessments, Bricker and others 2006); and
- 166 II. secondary or well developed eutrophication symptoms
- 167 1) dissolved oxygen;
- 168 2) submerged aquatic vegetation (SAV) loss; and
- 169 3) harmful algal bloom occurrence.

170 Statistical criteria are used to quantify chlorophyll *a* and dissolved oxygen (90<sup>th</sup>  
171 percentile for chlorophyll and 10<sup>th</sup> percentile for dissolved oxygen; Bricker and others  
172 2003). Additional improvements to the method for macroalgae and submerged aquatic  
173 vegetation have been proposed based on a comparison of potential area of colonization  
174 and effective colonized area. Presently macroalgae are determined heuristically (i.e.  
175 expert identification of problems based on detrimental impacts of algal biomass on a

176 biological resource) and SAV is determined by observed changes in spatial coverage  
177 irrespective of potential for colonization.

178 The eutrophic rating is expressed as an estuary-wide value, using area-weighting  
179 for each of the five variables (e.g. dissolved oxygen), based on concentration, spatial  
180 coverage, and frequency of extreme occurrences. The primary symptom expression level  
181 is an average of the level of expression values for the two primary symptoms, and the  
182 worst of the three secondary symptoms (selected for precautionary reasons) represents  
183 the secondary symptom expression level. These values are combined in a matrix to  
184 determine the overall eutrophic ranking for each estuary.

185

#### 186 Determination of Response – Future Outlook

187 Response is determined using a matrix that combines susceptibility of the system  
188 with expected changes in nutrient loads. Predictions of nutrient loading (increase,  
189 decrease, unchanged) are based on predicted population increase, planned management  
190 actions and expected changes in watershed uses. The intent of the Response component,  
191 the least robust of the three, is to highlight systems where presently there is no significant  
192 impact but where increased pressure is expected as the watershed is developed. This  
193 component should serve to provide an early warning for systems that are at risk from  
194 future watershed development in watersheds that might still be protected from future  
195 degradation.

196



197                    Synthesis – Grouping of Pressure, State and Response Indicators

198                    In an additional modification to the original methodology (ASSETS; Bricker and  
199 others 2003), the Influencing Factors, Overall Eutrophic Condition, and Future Outlook  
200 are combined into a single overall score falling into one of five categories: high, good,  
201 moderate, poor or bad. These categories match the convention of the E.U. Water  
202 Framework Directive (2000/60/EC), and are color coded providing a simple scale for  
203 setting reference conditions useful for different types of systems.

204

205                    Additional Modifications: NEEA Update Program

206                    Further modifications are being pursued in the NEEA Program including the  
207 development of a type classification based on physical and hydrologic characteristics that  
208 influence the expression of nutrient related impacts, such as phytoplankton blooms and  
209 low dissolved oxygen, using a clustering approach (DISCO clustering tool; Smith and  
210 Maxwell 2002). The intent is to classify U.S. waterbodies according to potential response  
211 to nutrient inputs to facilitate assessment, monitoring and thus management of nutrient  
212 related water quality problems. Classification is being used in the U.S. (to address Clean  
213 Water Act regulatory requirements; e.g. EPA sponsored Nutrient Criteria Development  
214 project) and in the E.U. (to address WFD requirements, e.g. Bettencourt and others 2004)  
215 as a tool to help identify reference conditions and impairments as well as to determine the  
216 causes of impairment and appropriate management response. The assumption is that  
217 waterbodies within the same group (type) will respond similarly to a particular stressor  
218 and likely also to management measures. The approach to this type classification is to  
219 identify physical and hydrologic characteristics that will determine the level of response

220 (e.g. growth of algae) of a system; rather than developing groupings (types) based on the  
221 response (e.g. algal biomass). This approach considers the potential response within each  
222 type of system (see Kurtz and others 2006 for additional classification approaches). The  
223 results will be used to re-evaluate type-specific reference conditions and thresholds for  
224 desirable/undesirable response for indicator variables such as chlorophyll *a* to improve  
225 eutrophic status assessment accuracy. For example, the current “low impact” range in the  
226 ASSETS method of chlorophyll *a* is 0 – 5 ug/l. However, in sensitive systems such as  
227 Florida Bay, a concentration of 5 ug/l is indicative of major nutrient related impacts.  
228 Type classification will allow indicator thresholds and ranges to be modified to scales  
229 appropriate and relevant to each type of system. For example, in types without SAV  
230 under natural conditions, an alternative indicator will be used and other indicator  
231 thresholds will be appropriate to the system type, making the assessment more accurate  
232 and useful for determining of impairment and possible management remedies.

233 Preliminary type classification results using the DISCO clustering approach are  
234 promising (Smith and others 2004) and are presently being tested for load – response  
235 relationships using the SPARROW nitrogen load estimates and SeaWiFS 1 km scale  
236 color converted to chlorophyll *a* concentrations. This work is being conducted in  
237 conjunction with EPA Nutrient Criteria Development and includes participation from  
238 EPA, USGS, and NOAA in collaboration with additional agencies and universities.

239 A socioeconomic/human use indicator is being developed to complement the  
240 water quality assessment. One promising approach links changes in fish catch rate to  
241 changes in water quality (Lipton and Hicks, 1999, 2003 and Mistiaen and others, 2003).  
242 Preliminary analysis of Long Island Sound data shows that as nitrogen inputs decrease,

243 dissolved oxygen and recreational catch of striped bass increase. The increase in catch is  
244 related to changes in oxygen when other influences (for example, fishermen avidity and  
245 experience, temperature, changes in fish stock) are accounted for (Mason and others  
246 2004). Additionally, a regional analysis of the Gulf of Maine and Mid-Atlantic systems  
247 has been promising and, with further research regarding species appropriate to other  
248 regions, could be developed into a nationally applicable assessment tool (Bricker and  
249 others 2006).

250 In addition to the assessment and typology activities of the NEEA Update, the  
251 relative importance of various nutrient pollution sources to estuaries is a critical step for  
252 improving the method and utilized for evaluating the results and guiding successful  
253 coastal management.

254

255 **Linking Pressure to State and Response: How can these results be used?**

256 The ASSETS assessment method should be applied on a periodic basis to track  
257 trends in nutrient related water quality over time in order to test management-related  
258 hypotheses and provide a basis for more successful management. The null hypothesis  
259 being tested in this approach is: The change in anthropogenic pressure as a result of  
260 management response does not result in a change of state. The hypothesis is tested e.g. to  
261 verify whether decreased pressure improves state, or if increased pressure deteriorates  
262 state. In many cases, a reduction in pressure will result in an improvement of state, but in  
263 some cases, such as naturally occurring harmful algal bloom (HAB) advected from  
264 offshore, it will not.

265           There are several ways to test this hypothesis: (a) Through the use of historical  
266 data for the system in question; (b) By comparison to a reference system of a similar type  
267 in better/worse state; (c) By enacting changes in nutrient loading through legislation  
268 and/or voluntary agreement by dischargers and monitoring potential changes in state over  
269 time; (d) Through the use of ecosystem models describing the state by means of  
270 indicators such as chlorophyll *a* or dissolved oxygen as a function of nutrient loads and  
271 other relevant variables (i.e. ASSETS method). The latter appears to provide the most  
272 comprehensive method for determining the changes in a system and reasons for changes  
273 from which appropriate management measures can be developed, whilst minimizing the  
274 social costs of scenario analysis.

275           If the null hypothesis is false, it is then required to evaluate the changes in socio-  
276 economic drivers leading to the required changes in pressure. After these management  
277 measures are taken, two subsequent monitoring steps are required: (a) The verification of  
278 the effectiveness of the measures as regards changes in pressure via monitoring and  
279 periodic assessment of conditions; and (b) The verification that the changes in pressure  
280 are producing the desired/predicted changes in state. The costs of implementation of the  
281 measures taken (i.e. the changes in Drivers) must be evaluated in the light of the expected  
282 gains in total economic value linked to the changes in state. The objective function is the  
283 highest net value (total economic value – costs of implementation) achievable given a  
284 limited budget for modification.

285

286 Source Apportionment

287 Primary productivity in aquatic ecosystems is most often related to nitrogen or  
288 phosphorus limitations. Nitrogen is most often the limiting in estuaries, in contrast to  
289 freshwater systems where phosphorus often limits production. Some estuaries do exhibit  
290 co-limitation by nitrogen or phosphorus or limitation that varies spatially and by season.  
291 The analysis of driving forces and their coupling to the ASSETS framework focuses on  
292 nitrogen sources identification for eleven watersheds on the U.S. east coast. Nitrogen  
293 inputs originate from both point and non-point sources. Point sources include:  
294 wastewater treatment plants (WWTP) and industrial discharges. Non-point sources  
295 include: agricultural runoff, septic systems, and urban and suburban runoff. Atmospheric  
296 deposition of N (AD-N) is also a potentially important source of N for many coastal  
297 ecosystems (Valiela and others 1992; Nixon 1996; Paerl and others 2002; Whitall and  
298 others 2003).

299 Quantifying the sources of nitrogen pollution to an estuary is necessary to  
300 appropriate and effective management strategies to reduce nitrogen loading, and  
301 ultimately, the effects of eutrophication.

302

### 303 WATERS N Model Description

304 Numerical watershed models can provide useful approach for quantifying the  
305 relative importance of nitrogen sources to coastal receiving waters. The model used in  
306 this study was the Watershed Assessment Tool for Evaluating Reduction Strategies for  
307 Nitrogen (WATERSN, Figure 3). The mass balance approach of this model is described  
308 briefly here; a full description can be found in Castro and others (2000), Castro and

309 Driscoll (2002), Castro and others (2003), and Whitall and others (2004). Individual  
310 model components are described in Table 1.

311 Atmospheric deposition of inorganic N (AD-N) and non-symbiotic N fixation  
312 were assumed to be the only N inputs to forests. The contribution made by AD-N to the  
313 total N runoff from upland forests was assumed to be proportional to AD-N in total N  
314 inputs. N export from upland forests is estimated using a non-linear regression  
315 relationship between wet deposition of  $\text{NH}_4^+$  and  $\text{NO}_3^-$  and stream water N export of  
316 dissolved inorganic N ( $\text{NH}_4^+$  and  $\text{NO}_3^-$ ) using results of numerous forest watershed  
317 studies in the U.S. ( Neitsch and others 2001; Driscoll unpublished data). The dissolved  
318 organic N contribution to the total N load is assumed to be equal to 50% of the inorganic  
319 N load exported from forests (Castro and Driscoll 2002). Rates of in-stream N loss were  
320 based on literature values and calibrated by comparing predicted and measured riverine  
321 fluxes. Castro and others (2003) calibrated the model against U.S. Geological Survey  
322 (USGS) National Stream Quality Accounting Network (NASQAN) for 18 watersheds in  
323 the eastern U.S. by adjusting the watershed and riverine N sinks. The calibrated model  
324 loadings agreed well (slope=0.995,  $r^2=0.9997$ ) with USGS loading values from  
325 monitoring at gauging stations.

326 With an understanding of the imperfections of any given model, they can be used  
327 to address questions of interest to environmental managers. The WATERSN model was  
328 used to estimate the sources of nitrogen for Casco Bay, Great Bay, Merrimack River,  
329 Buzzards Bay, Massachusetts Bay, Narragansett Bay, Long Island Sound, Raritan Bay,  
330 Delaware Bay, Chesapeake Bay and Pamlico Sound as examples of the usefulness of this  
331 approach (Figure 4, Table 2). This model could be applied to the Portuguese systems.

332 Unfortunately, funding and personnel constraints make that application beyond the scope  
333 of the current project.

334 For the purposes of this study, the Northeast has been operationally defined as  
335 Delaware Bay and north. Chesapeake Bay and Pamlico Sound are defined as Mid-  
336 Atlantic estuaries. Patterns in of nitrogen sources to east coast estuaries vary by region  
337 with striking differences between the Northeast and the Mid-Atlantic.

338

## 339 Result and Discussion

### 340 ASSETS Results for Portuguese systems

341 Since the original ASSETS evaluation of U.S. estuaries (Figure 2), the methodology has  
342 also been applied to Portuguese systems (Ferreira and others 2003) to test applicability to  
343 systems outside the U.S. The same criteria and methods were applied to the Portuguese  
344 systems as were applied to the U.S. systems so the results are directly comparable. Table  
345 3a lists physical and demographic characteristics of the 10 systems that have been  
346 evaluated and Table 3b summarizes statistics for the Portuguese and U.S. systems. The  
347 Portuguese systems' physical and demographic characteristics fall within the range of the  
348 138 U.S. systems although the U.S. systems have a much wider range of values and the  
349 U.S. systems have a much larger median catchment and estuary area and estuary volume.

350

### 351 Determination of Pressure – Influencing Factors

352 Table 4 shows the susceptibility results and the relative input from point and non-  
353 point sources of nutrients for U.S. and Portuguese systems (see Table 3 for total nitrogen  
354 loads). Note that the Portuguese systems are all of moderate or low susceptibility while

355 the U.S. systems are mostly moderate to high. This is due to the larger tidal range in the  
356 Portuguese systems and smaller relative depth suggesting that as a rule there is greater  
357 exchange of water relative to total volume in the Portuguese systems than in U.S.  
358 systems. Although there are some regional differences with the United States, on a  
359 national level nitrogen sources are similar between the two countries with the dominant  
360 source being non-point and the majority of non-point source related nutrients coming  
361 from agriculture, though the Portuguese systems are slightly more agriculturally  
362 dominated than the U.S. systems. Influencing Factor (a combination of nutrient load and  
363 susceptibility) is low to moderate high for the Portuguese systems and mostly moderate  
364 and moderate high for U.S. systems (Table 5). This difference is caused by the higher  
365 susceptibility of the U.S. systems.

366

#### 367 Determination of State – Overall Eutrophic Condition

368 The overall eutrophic conditions in the U.S. systems are moderate low to high  
369 while the conditions assessed in Portuguese systems are all low to moderate (Table 5,  
370 Figures 2 and 5). The reason that the Portuguese systems are not as impacted as the U.S.  
371 is likely due to the higher tidal range which contributes to shorter residence times.

372

#### 373 Determination of Response – Future Outlook

374 For most U.S. systems conditions are expected to worsen with only 8 systems  
375 expected to improve (Table 5). By contrast half of the tested Portuguese systems were  
376 expected to improve with conditions in the remaining systems expected to remain  
377 unchanged (Table 5). This is likely related to investments in WWTP in Portugal over the



378 past two decades, financed by the E.U. Cohesion Fund (European Commission, 2006)  
379 since Portugal joined the E.U. Wastewater treatment improvements have occurred in the  
380 U.S. since the 1970's, yielding some point source nutrient reductions. However,  
381 additional treatment required to remove nitrogen did not become prominent until the late  
382 1990's. Some of these efforts have resulted in noted improvements (e.g. dissolved  
383 oxygen in Long Island Sound) though in some systems these improvements are now  
384 being counter balanced by the increased populations in coastal watersheds. In the same  
385 manner, it is expected that nutrient inputs to the Portuguese systems will decrease from  
386 these improvements, while in the U.S. the non-point sources have remained a focus of  
387 management efforts.

388

### 389 Synthesis – Grouping of Pressure, State and Response Indicators

390 The combination of the three indicators into the single ASSETS score shows that  
391 the Portuguese systems all have Moderate to High scores with lower relative impacts and  
392 future conditions expected to remain the same or improve. This contrasts to more than  
393 half of the U.S. systems that are rated as Poor or Bad quality due to the higher levels of  
394 impact and the expectation that conditions will become worse (Table 5). These results,  
395 however, show the transferability of this methodology and its application to a wide  
396 variety of waterbodies, not just U.S. systems, and that results can be compared  
397 internationally. A primary strength of this finding is the use of these results to determine  
398 appropriate management measures on a broad scale. Of critical importance for  
399 management application is the determination of the sources of nutrient input. While it  
400 was not possible to include model analyses for the Portuguese systems at this time, we

401 highlight the use of models for this use in several of the U.S. systems with the  
402 understanding that this modeling approach can be applied to Portuguese and other  
403 systems provided the necessary data are available.

404

#### 405 WATERSN Results – Northeastern and Middle Atlantic United States

406 In the Northeast, human sewage is the major source of N loading for all nine estuaries  
407 evaluated (36-81%, Figure 4). In addition, runoff from atmospheric deposition (14-35%),  
408 urban areas (<1-20%), agricultural systems (4-20%) and forested land (<1-5%)  
409 contributes N to these coastal ecosystems. Atmospheric N deposition, either through  
410 direct deposition to the estuary surface or through watershed runoff of atmospheric  
411 deposition, was generally the second highest source of N. An exception to this pattern is  
412 noted for Delaware Bay, where the second highest source of N was agricultural runoff as  
413 watershed transition towards more agricultural land use in the Mid-Atlantic region.

414 In the Chesapeake Bay and Pamlico Sound, agricultural runoff dominates the N  
415 loading (55% and 79%, respectively) with wastewater effluent (21% and 12%) and  
416 atmospheric deposition also contributing significant loads (22% and 8%, respectively).  
417 Loadings from urban (2% and <1%) and forest runoff (1% and <1%) made up smaller  
418 portions of the total N load to these systems. This difference in patterns between regions  
419 reflects both the differences in watershed populations, which drives the sewage flux, and  
420 differences in land use (agricultural vs. non-agricultural).

421 It is important that the atmospheric depositional flux originates from a variety of  
422 sources. Because of a lack of comprehensive source-receptor models, it is difficult to  
423 determine exactly what portion of the deposited nitrogen originates from each source, but

424 the relative contribution of sources can be quantified from emissions inventories. The  
425 airsheds, or atmospheric pollutant source areas, for estuaries on the eastern U.S. seaboard  
426 have been delineated previously (Paerl and others 2002). The sources of nitrogen oxide  
427 ( $\text{NO}_x$ ) emissions for the airsheds of the eleven study estuaries vary by airshed and  
428 include: on-road mobile sources (31-38%), non-road mobile sources (12-21%), area  
429 sources (9-28%), fossil fuel combustion from electric utilities (19-23%) and industrial  
430 sources (9-12%) (U.S.EPA 1998). Anthropogenic emissions of ammonia ( $\text{NH}_3$ ) also vary  
431 between airsheds and include: agricultural animal waste (60-73%), chemical fertilizers  
432 (13-16%), domestic animals (4-7%), human breath and perspiration (3-7%), sewage  
433 treatment plants and septic systems (3-6%), industrial point sources (2%) and mobile  
434 sources (1-2%) (Strader and others 2001).

435 The modeled WATERSN loading results presented here compare well with  
436 independently published SPARROW model results (Smith and others 1997).  
437 The quantification nutrient loading drivers plays a key role in integrating social sciences  
438 and natural sciences to provide sustainable ecosystem management. ASSETS provides  
439 the core approach for ecosystem assessment, but it is important to note that there are  
440 some problems that cannot be improved through management (e.g. some kinds of toxic  
441 blooms). For problems that will potentially respond to management measures, once  
442 identification of management targets is made and measures implemented, it is important  
443 to continue monitoring to evaluate the success of such measures. Most importantly,  
444 periodic assessments allow for the adaptation of management measures that are not  
445 working and provides a basis for success.

446

447

## 448 Conclusions

449 In summary, the National Estuarine Eutrophication Assessment Program provides  
450 a strong basis for nutrient related water quality management through application of the  
451 ASSETS assessment method; however, improvements are needed. Presently, steps are  
452 being taken to improve the method through development of: type-specific criteria to  
453 better reflect conditions; a human use/socioeconomic indicator to complement the water  
454 quality indicator and put eutrophication related losses in perspective; and development of  
455 tools for managers to evaluate their systems such as the present U.S. online survey to  
456 update U.S. results from the 1999 study that automatically calculates the Pressure, State,  
457 Response and ASSETS scores upon entry of specifically requested data  
458 (<http://www.eutro.us>). These concurrent activities will lead to the improvement of the  
459 assessment method and the development of analytical and research models and tools for  
460 managers to help guide and improve management success for estuaries and coastal  
461 resources. The method has proven applicable in systems in the United States, the  
462 European Union (e.g. Portugal as shown in this study, Ireland, and China;  
463 <http://eutro.org/syslist.aspx>), and thus can be expected to be useful for management of  
464 coastal water bodies worldwide.

465 An important component of the NEEA Program is identification and  
466 quantification of nutrient sources to estuaries that are sensitive to eutrophication,  
467 allowing an appropriate and successful management response focused on the key driving  
468 forces. Here, nitrogen sources to eleven U.S. east coast estuaries have been reported.

469 There are clear regional differences between watersheds in the Northeast (dominated by

470 human sewage followed by atmospheric deposition/agriculture) and the Mid-Atlantic  
471 (dominated by agricultural runoff followed by atmospheric deposition/human sewage).  
472 These differences highlight the need for type classifications that NEEA Program can  
473 provide. These system differences often dictate the management strategies that will be  
474 most successful in protecting and remediating specific waterbodies that are sensitive to  
475 and degraded by nutrient inputs. Generally, these results suggest that sewage related  
476 nutrients should be further reduced in the Northeast region while reductions in  
477 agriculturally related nutrients should be the focus of management efforts in the Mid  
478 Atlantic region. Both regions would also benefit from efforts to reduce/limit atmospheric  
479 nutrient sources.

480 These results show that the WATERSN model can be applied to a variety of  
481 estuaries and is a useful tool for resource managers. A similar modeling approach could  
482 be used to quantify the phosphorus loading to P sensitive estuaries to provide the basis  
483 for development of a comprehensive nutrient management plan that includes both P and  
484 N. Future work will apply these source apportionment models to Portuguese systems.

## 486 Acknowledgements

487 We would like to thank Mark Castro (University of Maryland) and Charles  
488 Driscoll (Syracuse University) for their extensive work in the original design of the  
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**Uncorrected Proof - Do Not Cite**

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720



721 Table 1: Components of WATERSN Model

<b>Term</b>	<b>Flux Type</b>	<b>Notes</b>	<b>Reference</b>
N fertilization	Agricultural input	Fertilizer sales data by county	NOAA-SPO 2005
N fixation	Agricultural input	Unique values by crop	Castro and others 2000
Livestock waste	Agricultural input	Difference between feed imports and the production of meat/milk/eggs	Internal model calculation
Atmospheric deposition	Agricultural input	Annual N deposition values from National Atmospheric Deposition Program	National Atmospheric Deposition Program/National Trends Network, 2005
Crop harvest	Agricultural output	Agricultural Census data	NASS, 2005
Pasture grazing	Agricultural output	Agricultural Census data	NASS, 2005
Volatilization of NH <sub>3</sub>	Agricultural output	10% of fertilizer and atmospheric deposition; 15% of	Schlesinger and Hartley, 1992

		animal waste	
Denitrification	Agricultural output	10% of inputs	Meisinger and Randall, 1991
Wastewater Treatment Plant effluent	Urban export	Based on population on sewer systems	Internal model calculation
Leachate from septic systems	Urban export	Based on population not on sewer systems	Internal model calculation
Non-point source runoff	Urban export	From SWAT model	Neitsch and others 2001

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724 Table 2: Watershed and Estuary characteristics for WATERSN model application

System	Watershed Area <sup>1</sup> (km <sup>2</sup> )	Estuarine Area <sup>2</sup> (km <sup>2</sup> )	N load per unit watershed area <sup>1</sup> (kg N km <sup>-2</sup> yr <sup>-1</sup> )	N load per unit estuary area (tons N km <sup>-2</sup> yr <sup>-1</sup> )	OEC <sup>3</sup>
Casco Bay	2188	427	449.5	2.3	MH
Great Bay	2491	47	667.8	36.3	MH
Merrimack River	12458	16	825.1	642.4	U
Massachusetts Bay	2089	768	7408.6	7.9	M
Buzzards Bay	1021	639	1045.0	3.4	ML
Narragansett Bay	4018	416	2101.7	20.3	ML
Long Island Sound	40774	3259	977.5	12.2	MH
Raritan Bay	36114	799	2110.6	95.4	M
Delaware Bay	30792	2070	1669.1	25.8	ML
Chesapeake Bay	160765	5470	919.6	13.1	H
Pamlico Sound	25090	452	1808.4	8.1	U

725 <sup>1</sup> From Driscoll and others (2003).

726 <sup>2</sup> From S. Smith (2003).

727 <sup>3</sup> From Bricker and others 1999. OEC is Overall Eutrophic Condition. ML = moderate

728 low; M = moderate; MH = moderate high; H = high; U = unknown.

729

730 Table 3: Characteristics of 10 Portuguese systems (a) and summary characteristics for 10  
 731 Portuguese (Ferreira and others 2005) and 139 United States estuaries and coastal  
 732 waterbodies (b ; From Smith 2003)

a. Systems	Catchment area (km <sup>2</sup> )	Estuary Area <sup>2</sup> (km <sup>2</sup> )	Estuary Volume <sup>2</sup> (10 <sup>6</sup> m <sup>3</sup> )	Mean Depth (m)	Tidal Range (m)	Residence Time (days)	Watershed Population (X10 <sup>3</sup> )	N Load/estuary surface area (10 <sup>3</sup> tons km <sup>2</sup> yr <sup>-1</sup> )
Minho estuary	17.1	23	67	4	2	1.5	1,000	0.47
Lima estuary	2.5	5	19	2	2	1	80	0.22
Douro estuary	97.6	6	65	8	2	<2	4,123	6.67
Ria de Aveiro	3.4	60	84	1	2	4	700	0.02
Mondego estuary	6.7	9	21	2	3	2	66	0.02
Tagus estuary	80	330	2,200	11	2.6	19	9,030	0.09
Sado estuary	7.7	170	770	10	2.7	21	270	0.01
Mira estuary	1.6	3	17	6	2.4	-	26	0.05
Ria Formosa	0.8	49	92	2	2	0.3	168	0.02
Guadiana estuary	66.8	18	96	7	2	12	1,900	0.56
b.	Catchment area (km <sup>2</sup> )	Estuary area (km <sup>2</sup> )	Estuary volume (10 <sup>6</sup> m <sup>3</sup> )	Average depth (m)	Tide height (m)	Tidal FW flushing (days)	Watershed population (10 <sup>3</sup> )	N Load/estuary surface area (10 <sup>3</sup> tons km <sup>2</sup> yr <sup>-1</sup> )
<b>PT Systems</b>						Res time*		
Min	0.8	3	17	1	2	0.3	26	0.01
Max	97.6	330	2,200	11	3	21	9030	6.67
Median	7.2	20.5	75.5	5	2	3	485	0.07
<b>US Systems</b>								
Min	22	1	0.2	0.05	0.03	0	0.196	7.3 X 10 <sup>-5</sup>
Max	2.9 x 10 <sup>6</sup>	6974	99,000	96	5.6	3841	73009	2.28
Median	3975	237	665	2.84	1.03	4	216	0.01

733 \* Note that the available data related to residence time for U.S. systems is tidal freshwater  
 734 flushing. This will be similar to residence time for systems which are not dominated by  
 735 riverine flows. Caution should be used when comparing PT with U.S. systems for this  
 736 variable.

737

738 Table 4: Results of susceptibility and analysis of importance of non-point source nutrient  
739 loads for U.S. and Portuguese systems (from Bricker and others 1999; Ferreira and others  
740 2003; SPARROW results modified by CADS, 1999)

Region	Susceptibility (as number of systems)			Nutrient Inputs* (as % of systems)	
	High	Moderate	Low	>50% of total input as NPS	Ag as >30% NPS
No. Atlantic	0	6	12	78	0
Mid-Atlantic	15	7	0	91	60
So. Atlantic	8	9	4	100	81
Gulf of Mexico	12	23	2	100	85
Pacific Coast	14	18	7	89	50
U.S. Total	49	63	25	92	50
Portugal	0	5	5	89	67

741

\*as percent of 130 U.S. systems for which there were SPARROW estimates  
742 and percent of 10 Portuguese systems for which nutrient sources were available

743

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746 Table 5: Results for U.S. and PT for Influencing Factors, Overall Eutrophic Condition

747 and Determination of Future Outlook.

748

Scale	Influencing Factors		Overall Eutrophic Condition		Determination of Future Outlook			ASSETS		
	U.S.	PT	U.S.	PT	Scale	U.S.	PT	Scale	U.S.	PT
High	14	0	16	0	Worsen High	27	0	Bad	18	0
Medium High	43	4	28	0	Worsen Low	59	0	Poor	53	0
Medium	38	1	40	1	No Change	44	5	Moderate	28	3
Medium Low	25	2	31	4	Improve Low	8	3	Good	19	2
Low	17	3	7	2	Improve High	0	1	High	2	2
Unknown	2	0	17	3	Unknown	1	1	Unknown	21	3

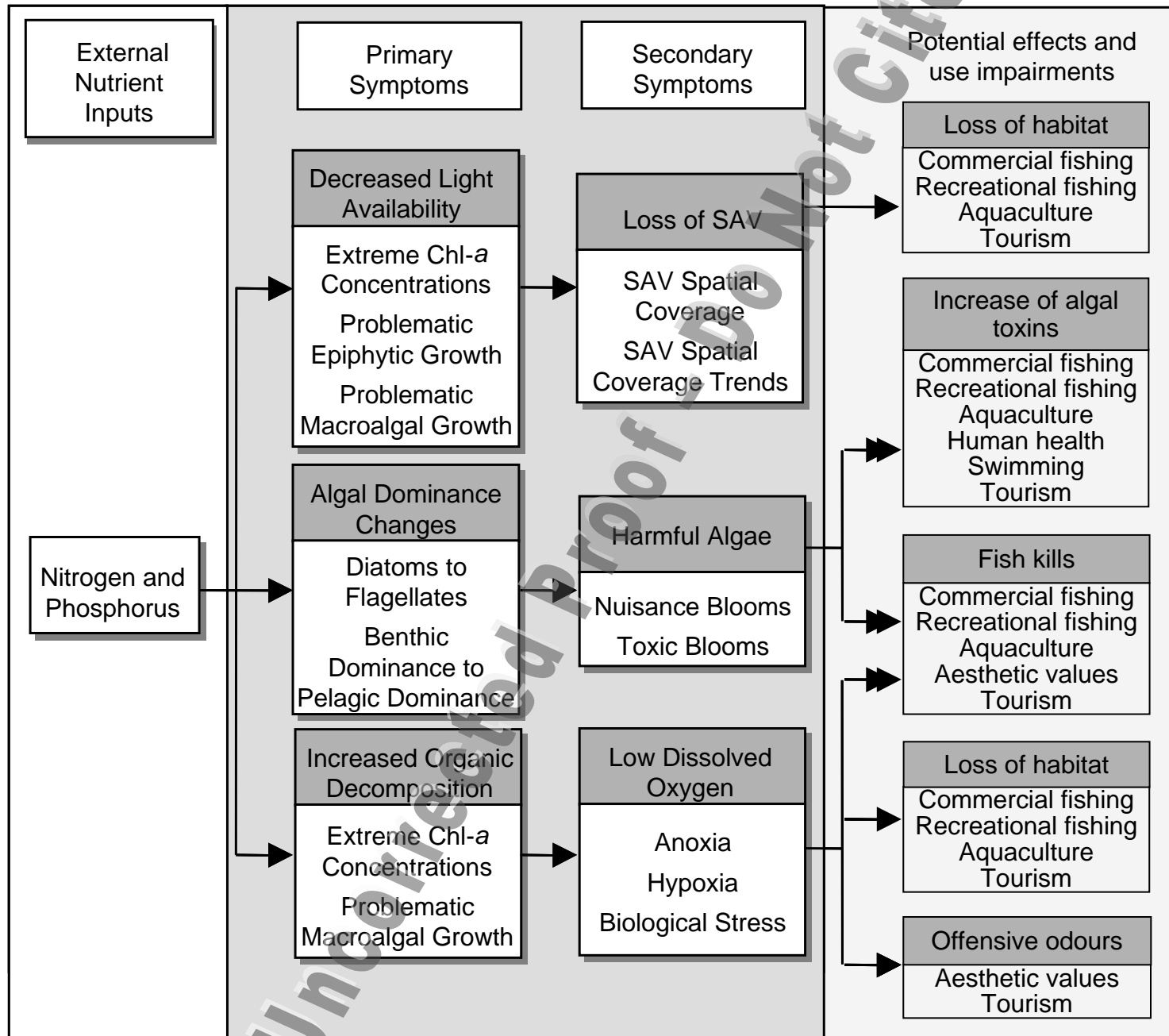
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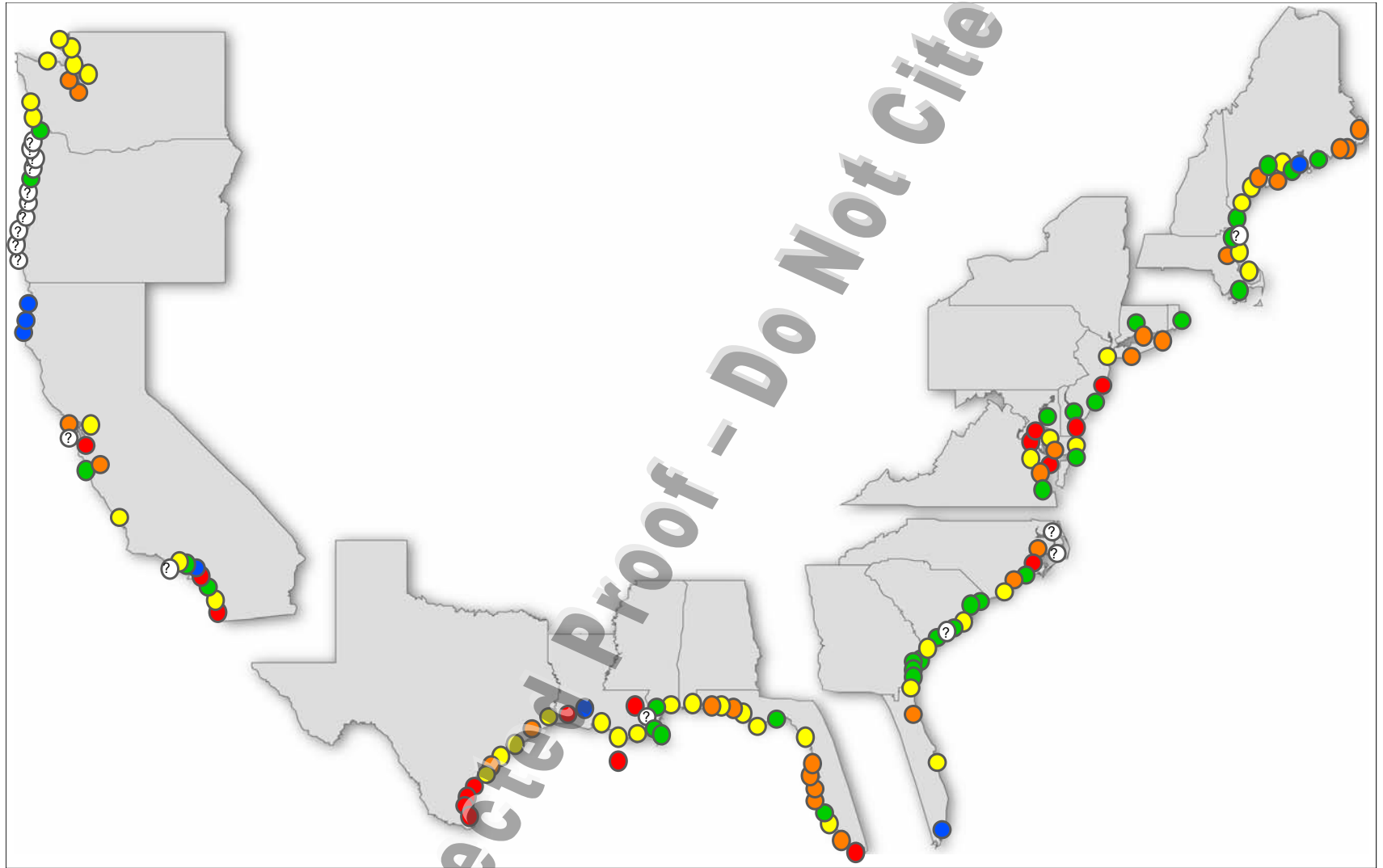
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# Whitall et al. Assessment of Eutrophication in Estuaries Figure 1





Whitall et al. Assessment of Eutrophication in Estuaries Figure 2



⊙ ? *Unknown*

⊙ *High*

⊙ *Moderate High*

⊙ *Moderate*

⊙ *Moderate Low*

⊙ *Low*

**Inputs**

**Outputs**

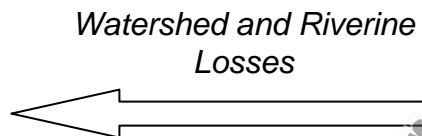
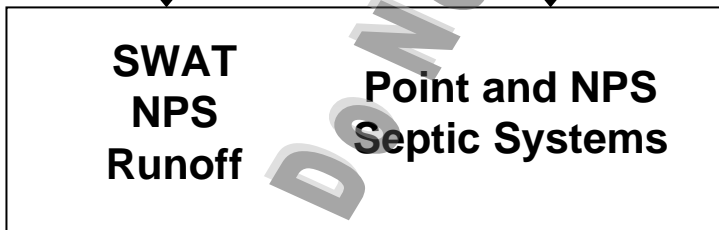
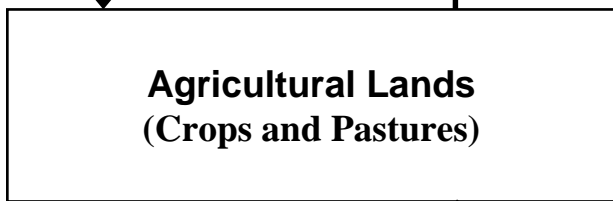
N Fertilization  
N Fixation  
Atmospheric  
Deposition  
Livestock Waste

*Crop Harvest*  
*Animal Grazing*  
*Ammonia*  
*Volatilization*  
*Denitrification*

Soil  
Climate  
N Fertilization  
Land Cover  
Atmospheric Deposition

Human Population  
Wastewater N Discharge

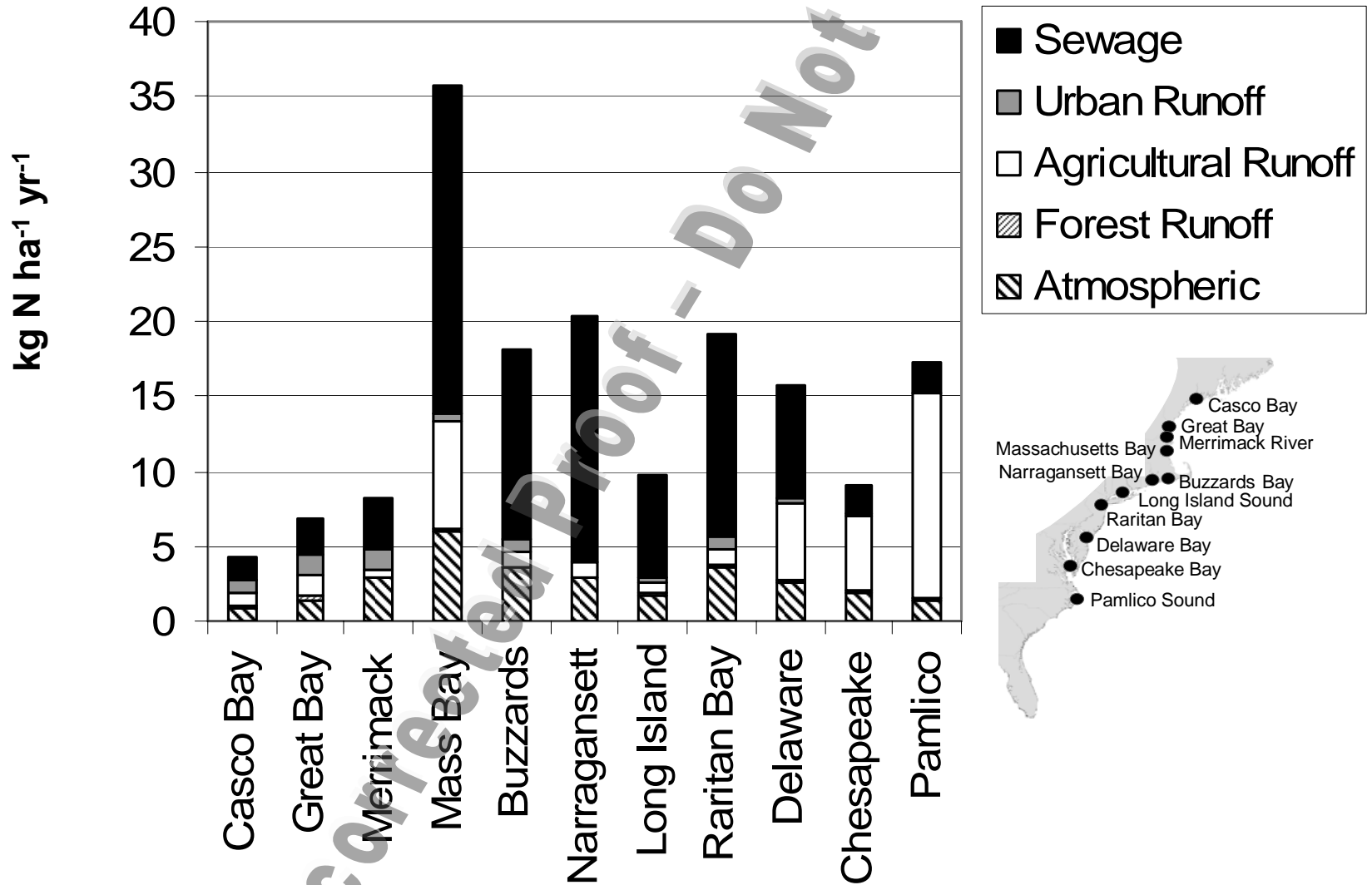
Atmospheric Deposition  
Nitrogen Fixation

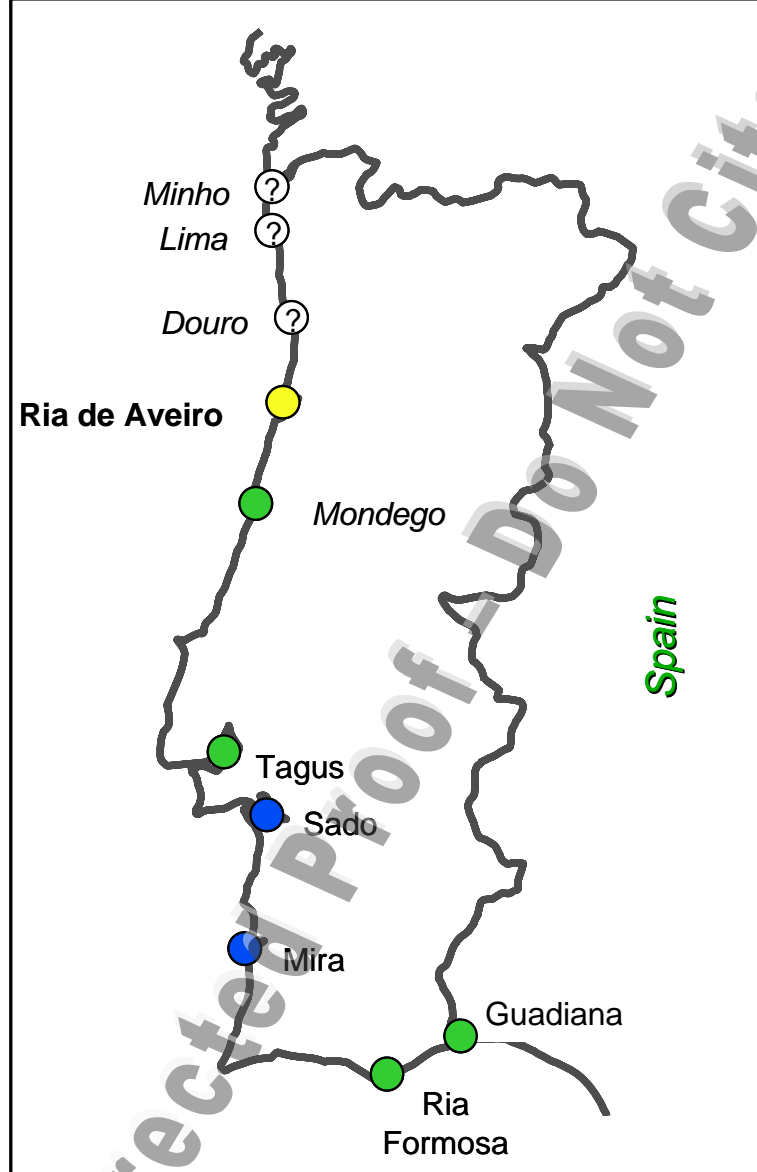


Atmospheric N Deposition

Uncorrected proof - Do Not Cite

Whitall et al. Assessment of Eutrophication in Estuaries Figure 4





⊙ Unknown

● High

● Moderate High

● Moderate

● Moderate Low

● Low